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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/665,915	09/20/2000	TSUKASA YAGI	15162/02500

24367  
SIDLEY & AUSTIN  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201



## FORMALITIES LETTER



\*OC000000005517992\*

Date Mailed: 10/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/01/2000 YPOLITE1 00000059 181260 09665915

01 FC:105

130.00 CH



11-28-00

Sectors

Attorney Docket No.: 15162/02500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: Tsukasa YAGI, Ken MATSUBARA,  
Tatsuya KANAZAWA, and Tomohiko  
MASUDA

For: LIGHT SHUTTER DEVICE AND A DRIVING  
METHOD THEREOF

U.S. Serial No.: 09/665,915

Filed: September 20, 2000

Group Art Unit: 2852

Examiner: To Be Assigned

**Box Missing Parts**  
Assistant Director for Patents  
Washington, DC 20231

Dear Sir:

Express Mail Mailing Label No.: EL237993605US

Date of Deposit: NOVEMBER 27, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to the Box Missing Parts, Assistant Director for Patents, Washington, DC 20231.

**DERRICK T. GORDON**

Name of Applicant, Assignee, or Registered Representative

*Derrick T. Gordon*

Signature

**NOVEMBER 27, 2000**

Date of Signature

**COMPLETION OF APPLICATION**

A Notice to File Missing Parts of Nonprovisional Application (copy enclosed) was mailed on October 31, 2000, indicating that the Declaration filed with the above-identified U.S. patent application was unexecuted.


Application Serial No. 09/665,915

Applicants submit herewith the Declaration executed by the Applicants on October 13, 2000, October 12, 2000, October 16, 2000, and October 13, 2000, respectively.

The executed Declaration identifies the Specification by title, filing date, inventors, and attorney docket number.

Please charge the \$130 fee to Sidley & Austin's Deposit Account No. 18-1260. Please charge any additional fees (other than issue fee) required during the pendency of this application to Sidley & Austin's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW/mhg

SIDLEY & AUSTIN  
717 North Harwood  
Suite 3400  
Dallas, Texas 75201-6507  
(214) 981-3328 (direct)  
(214) 981-3300 (main)

November 27, 2000

Attorney Docket No.: 15162/02500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Applicant: Tsukasa YAGI, Ken MATSUBARA,  
Tatsuya KANAZAWA, and Tomohiko  
MASUDA

For: LIGHT SHUTTER DEVICE AND A  
DRIVING METHOD THEREOF

U.S. Serial No.: 09/665,915

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**DERRICK T. GORDON**

Name of Applicant, Assignee, or Registered Representative

*Derrick T. Gordon*  
Signature

**NOVEMBER 27, 2000**

Date of Signature

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Applicants hereby request that the filing receipt data of the above-identified U.S. patent application as listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the Filing Receipt indicating in red that the inventors' residence address should be corrected as follows:

After "Yagi,", delete "Osaka-shi, Japan" and insert "--Kobe-Shi, Japan--;

After "Matsubara,", delete "Osaka-shi, Japan" and insert --Takatsuki-Shi, Japan--;

After "Kanazawa,", delete "Osaka-shi, Japan" and insert --Sakai-Shi, Japan--; and

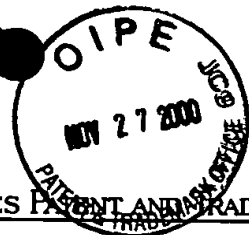
After "Masuda,", delete "Osaka-shi, Japan" and insert --Otsu-Shi, Japan--.

Enclosed is a copy of the Declaration and Power of Attorney filed concurrently, indicating the correct residence address of the inventors.

The Declaration shows the residential address of Mr. YAGI to be Kobe-Shi, Hyogo-Ken, Japan. Thus, the city of residence is "Kobe-Shi", while the prefecture of residence is "Hyogo-Ken". The Declaration shows the residential address of Mr. MATSUBARA to be Takatsuki-Shi, Osaka, Japan. Thus, the city of residence is "Takatsuki-Shi", while the prefecture of residence is "Osaka". The Declaration shows the residential address of Mr. KANAZAWA to be Sakai-Shi, Osaka, Japan. Thus, the city of residence is "Sakai-Shi", while the prefecture of residence is "Osaka". The Declaration also shows the residential address of Mr. MASUDA to be Otsu-Shi, Shiga-Ken, Japan. Thus, the city of residence is "Otsu-Shi", while the prefecture of residence is "Shiga-Ken".

MPEP 605.02 indicates that the residential address should be "the city and either state or foreign country". Thus, if the Filing Receipt is to be less than that which is recited in the Declaration, it should at least correctly identify the city and country.

#4



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/665,915	09/20/2000	2852	690	15162/02500	11	18	3

24367  
SIDLEY & AUSTIN  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201

RECEIVED - DOCKETING

NOV 17 2000

SIDLEY &amp; AUSTIN

## FILING RECEIPT



\*OC000000005517991\*

Date Mailed: 10/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

TSUKASA YAGI, OSAKA-SHI, JAPAN; *Kobe-Shi*  
KEN MATSUBARA, OSAKA-SHI, JAPAN; *Takatsuki-Shi*  
TATSUYA KANAZAWA, OSAKA-SHI, JAPAN; *Sakai-Shi*  
TOMOHIKO MASUDA, OSAKA-SHI, JAPAN; *Otsu-Shi*

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-266829 09/21/1999

If Required, Foreign Filing License Granted 10/30/2000

## Title

Light shutter device and a driving method thereof

## Preliminary Class

399

Data entry by : HOPKINS, AUDREY

Team : OIPE

Date: 10/31/2000





Creation date: 09-15-2004  
Indexing Officer: RDICKEY - RAYMOND DICKEY  
Team: OIPEBackFileIndexing  
Dossier: 09665915

Legal Date: 12-19-2000

No.	Doccode	Number of pages
1	IDS	3
2	FOR	8
3	FOR	8

Total number of pages: 19

Remarks:

Order of re-scan issued on .....